

# La creciente importancia de la facilitación del comercio para los puertos

**INALOG**  
INSTITUTO NACIONAL DE LOGÍSTICA



Uruguay  
Logístico

Jan.Hoffmann@UNCTAD.org

Montevideo, mayo 2020

# ¿Qué pasará con el comercio?

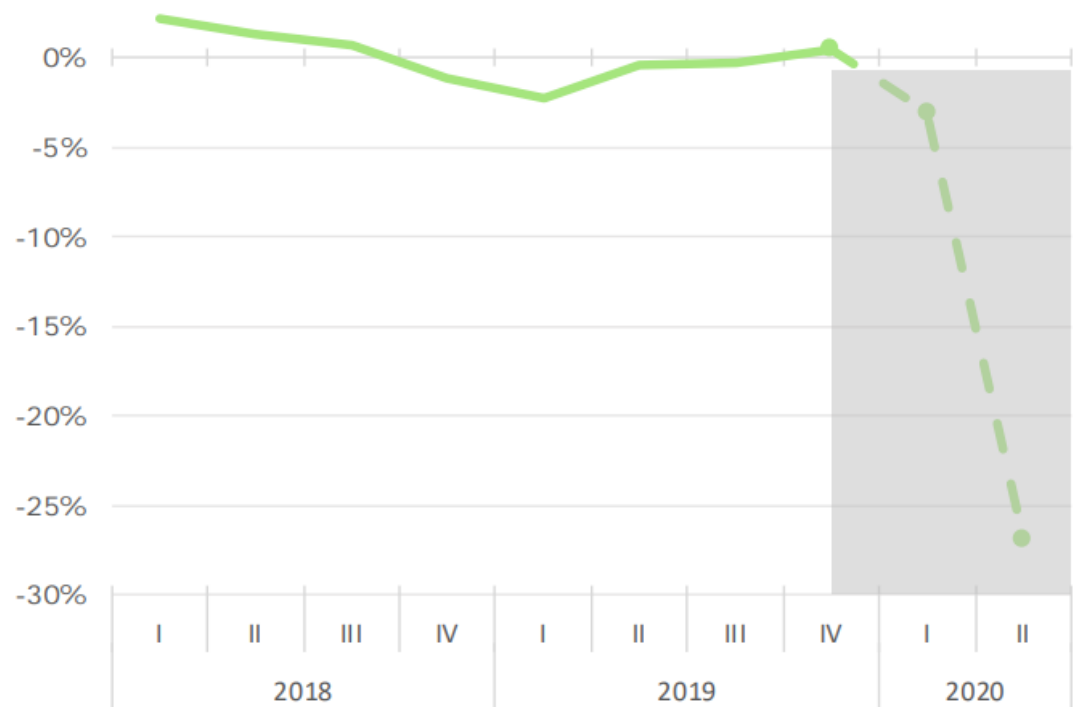
Source:



<https://unstats.un.org/unsd/ccsa/documents/covid19-report-ccsa.pdf>

## Global merchandise trade values

Growth rate over previous quarter, seasonally adj. series



Note: Shaded area indicates UNCTAD nowcasts

# No es un balance



- Las medidas concretas propuestas en este informe ayudan a facilitar el transporte y el comercio, y a su vez proteger a la población del COVID-19.

CONFERENCIA DE LAS NACIONES UNIDAS SOBRE COMERCIO Y DESARROLLO  
**UNCTAD**

Nº 79  
ABRIL 2020

## RESUMENES DE LA UNCTAD

### COVID-19: UN PLAN DE ACCIÓN DE 10 PUNTOS PARA FORTALECER EL COMERCIO INTERNACIONAL Y LA FACILITACIÓN DEL TRANSPORTE EN TIEMPOS DE PANDEMIA

La pandemia del coronavirus (COVID-19) está afectando sustancialmente las vidas y los medios de subsistencia de las personas y provocando un estrés extremo en los sistemas socioeconómicos. Colaboración internacional, coordinación y la solidaridad entre todos, va a ser clave para superar este desafío global sin precedentes. Como parte de los esfuerzos que buscan reducir la propagación internacional del virus y para mitigar las consecuencias potencialmente devastadoras a largo plazo de la pandemia, especialmente para los países más vulnerables, los responsables de la formulación de políticas deben tomar una serie de medidas para garantizar la facilitación del comercio internacional y el transporte de mercancías. Es crucial mantener los barcos en movimiento, puertos abiertos, comercio y transporte transfronterizo fluyendo, al tiempo que se garantiza que las agencias fronterizas puedan llevar a cabo todos los controles necesarios con seguridad.

**Puntos clave**

- Hay una necesidad de mantener los flujos de mercancías, puertos abiertos y el comercio transfronterizo, garantizando al mismo tiempo que las agencias fronterizas puedan llevar a cabo de forma segura todos los controles necesarios.
- Facilitar el comercio y el transporte de mercancías de la manera más rápida que nunca, para evitar obstáculos logísticos que conduzcan a la escasez de suministros necesarios.
- Las medidas concretas propuestas en este informe ayudan a facilitar el transporte y el comercio, y a su vez proteger a la población del COVID-19.

**Desafíos para la logística de comercio internacional\***

Las agencias fronterizas enfrentan el desafío de agilizar la importación, exportación y tránsito, incluyendo suministros médicos, donaciones y análisis de seguridad necesarios, garantizando al mismo tiempo la prevención de epidemias y la disponibilidad de controles de despacho aduanero adecuados, así como el cumplimiento de los controles de bienes y de personal de transporte.

Este desafío afecta a los bienes y servicios que son necesarios para la preservación de muchos trabajos en la industria de la manufactura, de la cual depende la sociedad moderna. Se deben hacer esfuerzos especiales por parte de los gobiernos para asegurar y mejorar la producción y distribución de bienes críticos necesarios para contener y combatir la pandemia (como suministros y equipos médicos) y para asegurar las necesidades básicas (incluyendo alimentos y energía).

Los proveedores de servicios relevantes y la infraestructura de soporte deben ser protegidos con carácter prioritario. Esto incluye asegurar que los servicios de transporte, los puertos y las agencias fronterizas no solo permanezcan operativos, sino que sean efectivamente fortalecidos para sobrellevar los desafíos excepcionales que enfrentan.

Los gobiernos deben adoptar enfoques comunes para abordar estos problemas en toda la red

\* Nota: se accedió a todos los datos web mencionados en este documento en abril de 2020.



# Procedures and formalities: Challenges for ports

- **Alcance de la facilitación del comercio**
- **Principales preocupaciones de los comerciantes**
- **7 tendencias globales y medidas F.C.**





# Procedures and formalities: Challenges for ports

- Alcance de la  
facilitación del  
comercio
- Principales preocupaciones de los comerciantes
- 7 tendencias globales y medidas F.C.



# TRADE FACILITATION

## Simplification

Process of eliminating all unnecessary elements and duplications in formalities, processes and procedures

## Harmonisation

Alignment of national procedures, operations and documents with international conventions, standards and practices.

## Standardisation


Process of developing internationally agreed formats for practices and procedures, documents and information.



# TRADE FACILITATION



**Standardisation**



**Proceso de elaboración  
de formatos acordados  
internacionalmente  
para prácticas y  
procedimientos,  
documentos e  
información.**

# International rules and standards

- IMO
- ISO
- UN-CEFACT
- WCO
- WTO



UN/CEFACT

SIMPLE, TRANSPARENT AND EFFECTIVE PROCESSES  
FOR GLOBAL BUSINESS



WORLD CUSTOMS ORGANIZATION  
ORGANISATION MONDIALE DES DOUANES



Consignor (Exporter)		Date, Reference No, etc.		
Consignee		Byer (if other than consignee) or other address		
Notify or delivery address		Country whence consigned		
		Country of origin	Country of destination	
Transport details		Terms of delivery and payment		
Shipping marks; Container No.	Number and kind of packages; Goods description	Commodity No.	Gross weight	Cube
			Net quantity	Value
Free disposal		Place and date of issue; Authentication		

# UN Lay-out Key (UNECE Rec.#1)

# TRADE FACILITATION

## Simplification

Process of eliminating all unnecessary elements and duplications in formalities, processes and procedures

## Harmonisation

Alignment of national procedures, operations and documents with international conventions, standards and practices.

## Standardisation

Process of developing internationally agreed formats for practices and procedures, documents and information.



# **TRADE FACILITATION**



**Harmonisation**



**Alineación de  
procedimientos,  
operaciones y  
documentos nacionales  
con convenciones,  
normas y prácticas  
internacionales.**

Consignor (Exporter)	Date, Reference No., etc.		
Consignee	Byer (if other than consignee) or other address		
Notify or delivery address	Country whence consigned		
	Country of origin	Country of destination	
Transport details	Terms of delivery and payment		

Shipping marks; Container No.	Number and kind of packages; Goods description	Commodity No.	Gross weight	Cube
			Net quantity	Value

Place and date of issue; Authentication

Free disposal

# FIATA FORWARDING INSTRUCTIONS FFI

Text authorized by FIATA. COPYRIGHT FIATA, Zurich - Switzerland 4.84

3336 Consignor	1	Emblem of National Association (approved by FIATA) 1482 Consignor's reference No. 2	
3132 Consignee	3	3170 Freight Forwarder 4	
3180 Notify party	5	3238 Country of origin 6	Documentary credit 7
Goods ready for shipment Place _____ Date _____	8	4490 Conditions of sale 9	
8066 Mode of transport <input type="checkbox"/> Air <input type="checkbox"/> Road <input type="checkbox"/> Sea	10	Transport insurance 11	4112 Insurance conditions
3258 Place of destination		Covered by us Covered by consignee To be covered by you	6345 Currency and 5011 value insured
7102 Marks & numbers 12	7224 Number & 7064 type of pkgs.	7002 Description of goods 13	7357 Commodity code 14
			6292 Gross weight 15
			6322 Cube 16
			6048 Net net weight
			Value
The goods and instructions are accepted and dealt with subject to the Trading Conditions printed overleaf.			
4078 Handling instructions (dangerous goods etc.) 17			
Dimensions/Measurement and weight of each package			
1346 Document enclosed:	1160 Document required:	Orig. Copy	4052 Terms of delivery 19
			3410 Place and 2006 date of issue 20
			4426 Authentication 21

Consignor (Exporter)		Date, Reference No, etc.		
Consignee		Byer (if other than consignee) or other address		
Notify or delivery address		Country whence consigned		
		Country of origin	Country of destination	
Transport details		Terms of delivery and payment		
Shipping marks; Container No.	Number and kind of packages; Goods description	Commodity No.	Gross weight	Cube
			Net quantity	Value
<div style="position: absolute; top: 50%; left: 50%; transform: translate(-50%, -50%); opacity: 0.5; font-size: 48px; font-weight: bold;">Free disposal</div>				
Place and date of issue; Authentication				

INVOICE		Year	Month	Day	Invoice No.
1. Consignor (Name and address)		Date			
2. Importer (Name and address)		9. Buyer's name & address (if other than consignee)			
3. Notify or delivery address		10. Country of origin		11. Country of destination	
4. Voyage No./Flight No. & date		12. Terms of delivery and payment			
5. Vessel/Aircraft	7. Port/Airport of loading				
6. Port/Airport of discharge	8. Place of delivery				
13. Shipping marks; Container No.		14. Number & kind of packages; Goods description		17. Gross weight (kg)	18. Cube (M3)
19. Specification of commodities		17. Commodity code		18. Quantity	
				19. Unit price	
Packing Freight Other costs (Specify) Insurance Total invoice amount					



# TRADE FACILITATION

## Simplification

Process of eliminating all unnecessary elements and duplications in formalities, processes and procedures

## Harmonisation

Alignment of national procedures, operations and documents with international conventions, standards and practices.

## Standardisation

Process of developing internationally agreed formats for practices and procedures, documents and information.

# TRADE FACILITATION



**Simplification**



**Proceso de  
eliminación de todos  
los elementos y  
duplicaciones  
innecesarios en  
trámites, procesos y  
procedimientos**



# ASYCUDA Customs Transit

A CUSTOMS OFFICE

CU009

Basel Central Office

1 DECLARATION	
	T1

3 Forms	4 Load List
1	0

5 Items	6 Nbr packages
1	200

2004
------

2 Exporter /...	No.
ROLAND Export Chemin des Dames, 24 Marne la Vallee	

8 Consignee	No.
Fassbind SA Chancy Road, 144 1213 Ornex Switzerland	F0000010

14 Declarant	No.
AEG transport Rue Gilvert Geneve	F-006

15 Country of export
France

15 C.E. Code	17 C.D. Code
a   FR   b	a   CH   b

17 Country of destination
Switzerland

18 Identity and nationality of active means of transport at departure	19 Ctr.
5647 TWF 95	FR <input type="checkbox"/>

21 Identity and nationality of active means of transport crossing the border
5647 TWF 95

25 Mode Transport	27 Place of loading/unloading
3 at border	CHGVA GENEVE

4	5

31 Packages and description of goods	Marks and numbers - Containers No(s) - Number and kind
	MEDITERRANEAN PRIMEUR INTL S.A. #001/200 Produced in EU
	Nbr & Kind      200    21      Cartons
	Containers No(s)
	Carrots

32 Item No.	33 Commodity code
1	07061000

35 Gross mass (kg)
18,000.00

38 Net mass (kg)
17,500.00

40 Summary declaration / Previous document

44 Add. info Documents Produced Certificates	E013      2004/1932832A12      01/04/2004
--	---

A.I. Code
.....



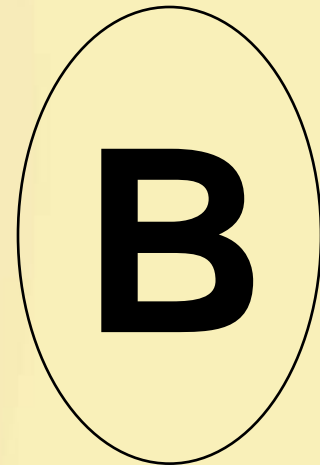
# Procedures and formalities: Challenges for ports

- Scope of Trade facilitation
- **Traders main concerns**
- 7 global trends & TF measures

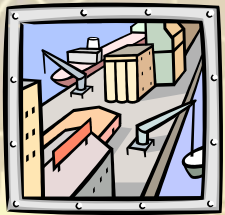




# The Trade Transaction



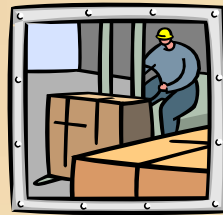
Product



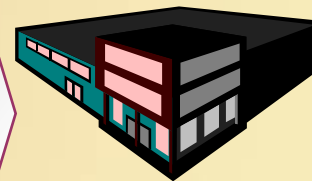
Supplier



Manufacturer



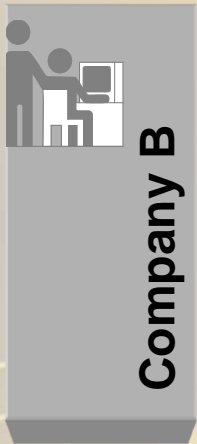
Wholesalers



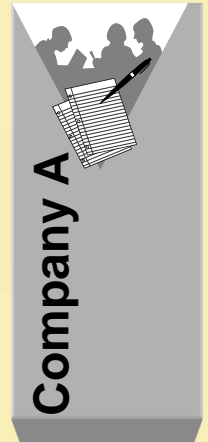
Retailers



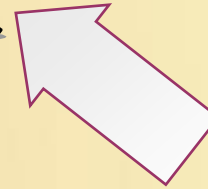
Customer



Company B



Company A



# What do traders want ?

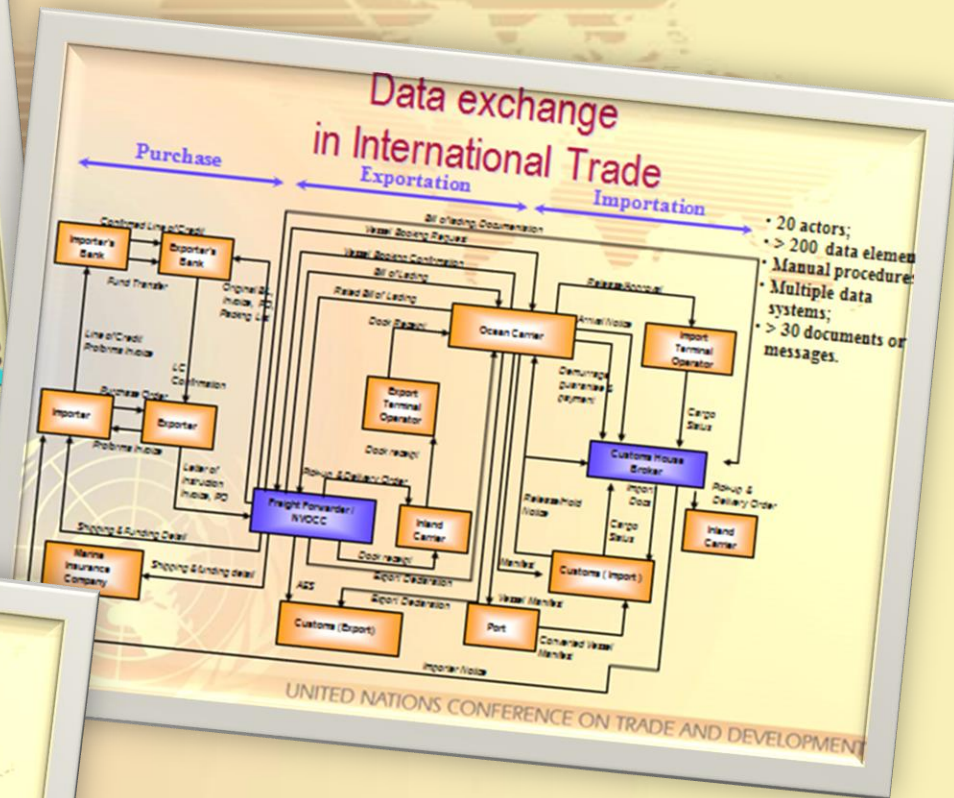
- Procesamiento **sencillo** de los trámites
- Medios para permitir que las mercancías procedan **rápidamente** a su destino final.
- Un **único** punto de control para todos los servicios públicos
- Formularios **estándares**, ensamblados en un grupo único de documentos, compatibles con documentos comerciales y contratos de transporte
- Normas y procedimientos **predecibles y transparentes**



# Too many documents...

- Enquiry
- Order
- Despatch advice
- Collection order
- Payment order
- Documentary credit
- Forwarding instructions
- Forwarder's invoice
- Goods receipt
- Air waybill
- Road consignment note
- Rail consignment note
- Bill of lading
- Freight invoice
- Cargo manifest
- Export licence
- Exchange control doc.
- Phytosanitary certificate
- Veterinary certificate
- Certificate of origin
- Consular invoice
- Dangerous goods declaration
- Import licence
- Customs delivery note
- TIR carnet





## Too many documents...

- Enquiry
- Order
- Despatch advice
- Collection order
- Payment order
- Documentary credit
- Forwarding instructions
- Forwarder's invoice
- Goods receipt
- Air waybill
- Road consignment note
- Rail consignment note
- Bill of lading
- Freight invoice
- Cargo manifest
- Export licence
- Exchange control doc.
- Phytosanitary certificate
- Veterinary certificate
- Certificate of origin
- Consular invoice
- Dangerous goods declaration
- Import licence
- Customs delivery note
- TIR carnet

# Procedures and formalities: Challenges for ports

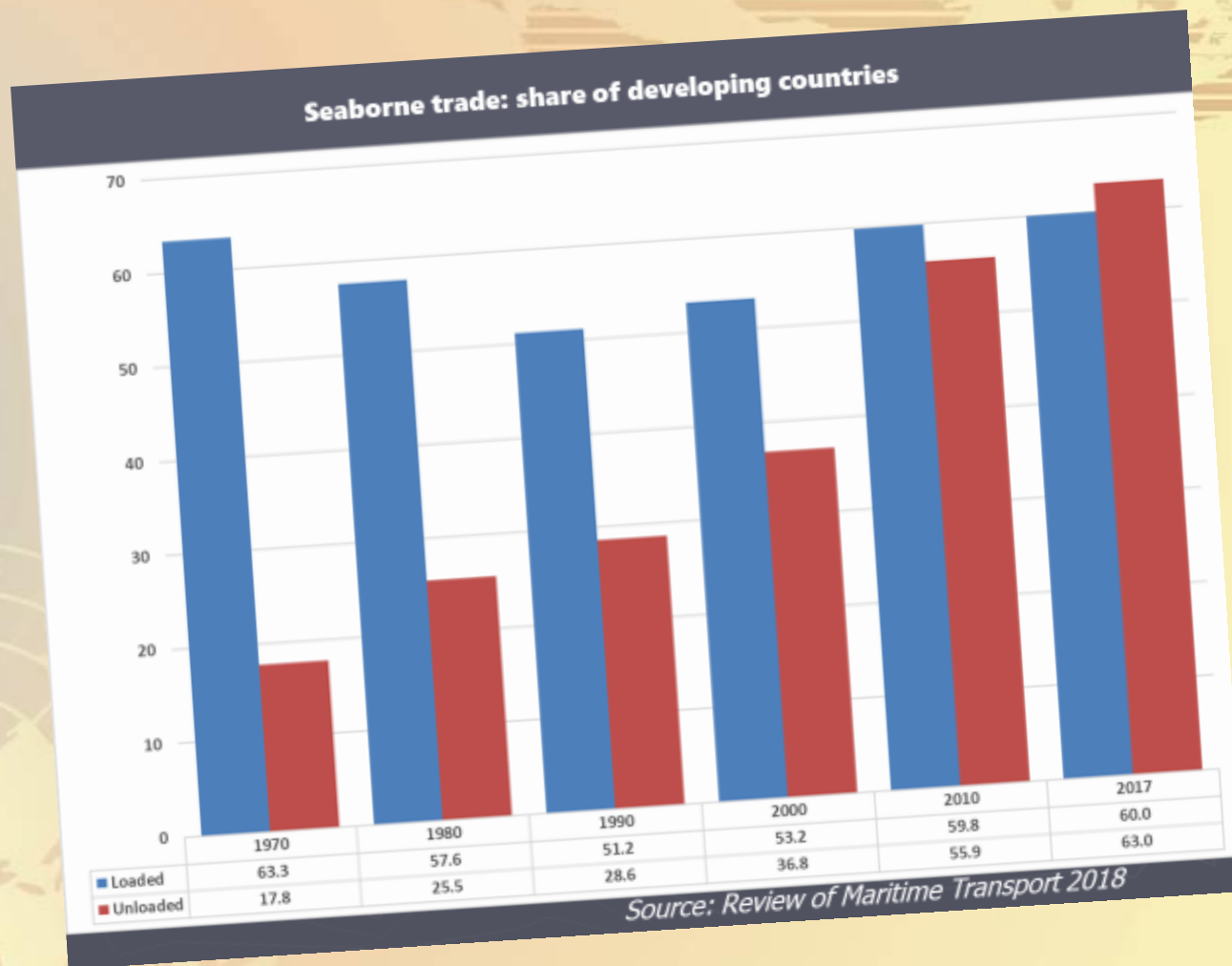
- Scope of Trade facilitation
- Traders main concerns
- 7 global trends & TF measures



# 7

**razones por las que las medidas  
en el AFC de la OMC son más  
importantes para el comercio  
actual que antes**

# 1. Comercio de productos manufacturados





### ARTICLE 3: ADVANCE RULINGS

1. Each Member shall issue an advance ruling in a reasonable, time bound manner to an applicant that has submitted a written request containing all necessary information. If a Member declines to issue an advance ruling it shall promptly notify the applicant in writing, setting out the relevant facts and the basis for its decision.

2. A Member may decline to issue an advance ruling to an applicant where the question raised in the application:

- a. is already pending in the applicant's case before any governmental agency, appellate tribunal or court; or
- b. has already been decided by any appellate tribunal or court.

3. The advance ruling shall be valid for a reasonable period of time after its issuance unless the law, facts or circumstances supporting the original advance ruling have changed.

4. Where the Member revokes, modifies or invalidates the advance ruling, it shall provide written notice to the applicant setting out the relevant facts and the basis for its decision. Where a Member revokes, modifies or invalidates advance rulings with retroactive effect, it may only do so where the ruling was based on incomplete, incorrect, false or misleading information.

5. An advance ruling issued by a Member shall be binding on that Member in respect of the applicant that sought it. The Member may provide that the advance ruling be binding on the applicant.

6. Each Member shall publish, at a minimum:

- a. the requirements for the application for an advance ruling, including the information to be provided and the format;
- b. the time period by which it will issue an advance ruling; and
- c. the length of time for which the advance ruling is valid.

7. Each Member shall provide, upon written request of an applicant, a review of the advance ruling or the decision to revoke, modify or invalidate the advance ruling.<sup>2</sup>

8. Each Member shall endeavour to make publicly available any information on advance rulings which it considers to be of significant interest to other interested parties, taking into account the need to protect commercially confidential information.

<sup>2</sup> Under this paragraph: a) a review may, before or after the ruling has been acted upon, be provided by the official, office or authority that issued the ruling, a higher or independent administrative authority, or a judicial authority; and b) a Member is not required to provide the applicant with recourse to Article 4.1.1 of this Agreement.

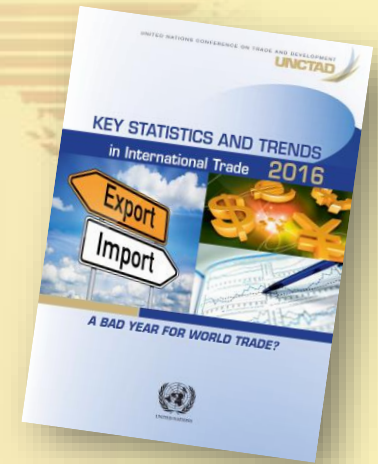
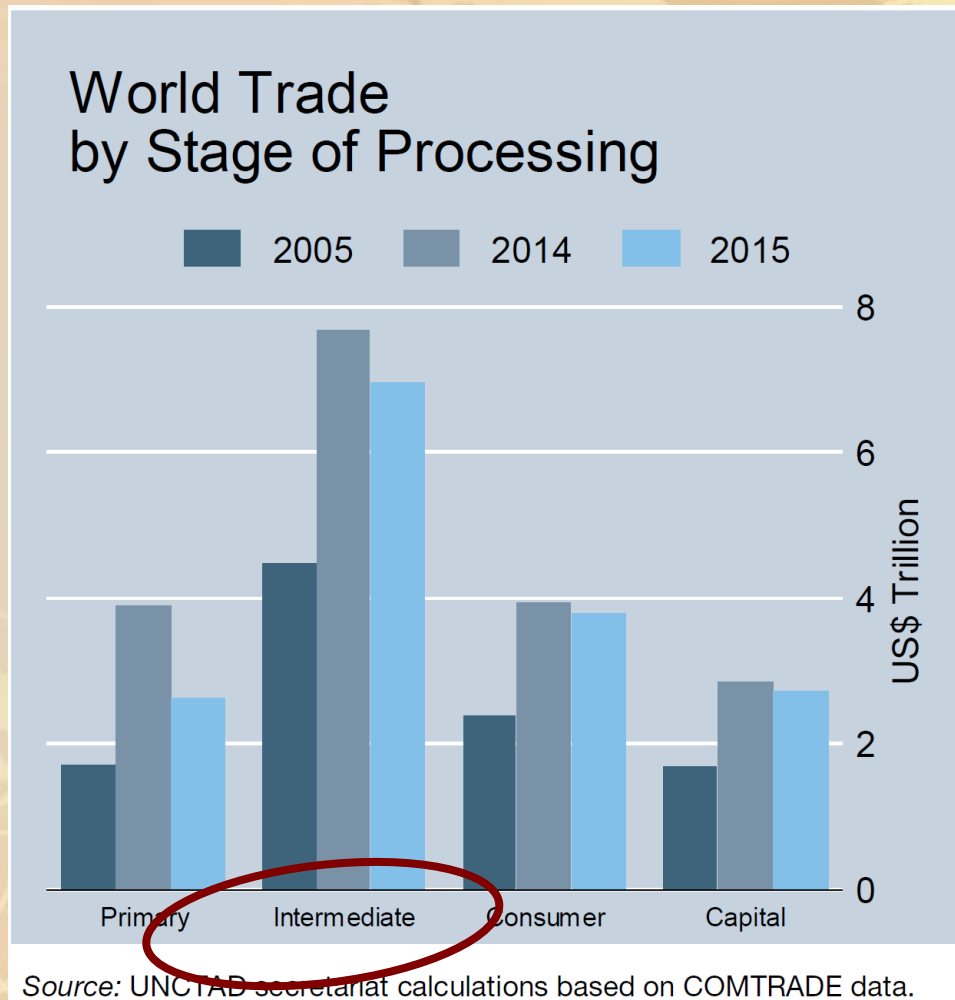
## ② Producción globalizada

- Comercio intra-empresarial
- Comercio de productos intermedios
- La logística es parte del proceso de producción





# World trade by stage of processing



# WCO-EAC ECONOMIC DEVELOPMENT PROGRAM THE BENEFICIARY REGION



EAST  
AFRICAN  
COMMUNITY

- ❖ Burundi
- ❖ Kenya
- ❖ Rwanda
- ❖ Tanzania
- ❖ Uganda

## 7 Trade Facilitation Measures for Authorized Operators

7.1. Each Member shall provide additional trade facilitation measures related to import, export or transit formalities and procedures, pursuant to paragraph 7.3, to operators who meet specified criteria, hereinafter called authorized operators. Alternatively, a Member may offer such facilitation measures through customs procedures generally available to all operators and not be required to establish a separate scheme.

7.2. The specified criteria shall be related to compliance, or the risk of non-compliance, with requirements specified in a Member's laws, regulations or procedures. The specified criteria, which shall be published, may include:

- an appropriate record of compliance with customs and other related laws and regulations;
- a system of managing records to allow for necessary internal controls;
- financial solvency, including, where appropriate, provision of a sufficient security/guarantee; and
- supply chain security.

The EAC-WCO Economic Development Programme benefits from the financial support of the Swedish International Development Cooperation Agency – SIDA.

<sup>6</sup> Each Member may determine the scope and methodology of such average release time measurement in accordance with its needs and capacity.



# ③. Protección



Section	Selected declarations after assessment	Cus. reference #	Lane	Examined
VERIFICATION	2013 C 3822		Red	
VERIFICATION	2013 C 3823		Red	
CLEARANCE	2013 C 3825		Yellow	
VERIFICATION	2013 C 3826		Yellow	
VERIFICATION	2013 C 3829		Yellow	
VERIFICATION	2013 C 3830		Red	
CLEARANCE				MOJTAD

## 4 Risk Management

4.1. Each Member shall, to the extent possible, adopt or maintain a risk management system for customs control.

4.2. Each Member shall design and apply risk management in a manner as to avoid arbitrary or unjustifiable discrimination, or disguised restrictions to international trade.

4.3. Each Member shall concentrate customs control and, to the extent possible other relevant border controls, on high risk consignments and expedite the release of low risk consignments.

Each Member may also select, on a random basis, consignments for such controls as part of its risk management.

4.4. Each Member shall base risk management on assessment of risk through appropriate selectivity criteria. Such selectivity criteria may include, *inter alia*, HS code, nature and description of the goods, country of origin, country from which the goods were shipped, value of the goods, compliance record of traders, and type of means of transport.



# ④ Nueva Geografía del Comercio

## Growing South-South Trade





### News Room

- Chaozhou Customs Supports Wedding Dress Export (with photo)
- Dalian Releases quickly "Sky Dancers"(with photo)
- Gongbei Seized over 5000 Undeclared Automobile Spare Parts
- Minister YU Guangzhou Attends the 7th Meeting of the China-Kazakhstan Port and Customs Cooperation subcommittee

[MORE >](#)

**NXiamen Intercepted First Burberry Trademark Infringement Case**

### SPECIAL FEATURES



**Framework of Standards to Secure and Facilitate Global Trade**



[Download](#)

**The Second-step Development Strategy of Building a Modern Customs Regime**

### About China Customs

- Leadership Resume
- Rank Regime
- Headship
- Department

### Annual Report

### Policy and Regulation

- Guide to Customs Clearance of Reporting Equipment Carried by Foreign Journalists
- Announcement of the General Administration of Customs No. 59, 2009
- Decree of the General Administration of Customs Decree of the General Administration of Customs No.183
- Decree of the General Administration of Customs of the People's Republic of China No.176
- Decree of the General Administration of Customs of the People's Republic of China No.175

[MORE >](#)

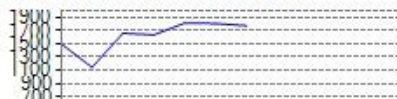
### IPR Enforcement

- Customs Actions
- Seizure Statistics
- Activities
- Legislation
- IPR Recordation

[MORE >](#)

### Import and Export Statistics

#### Import and Export of China



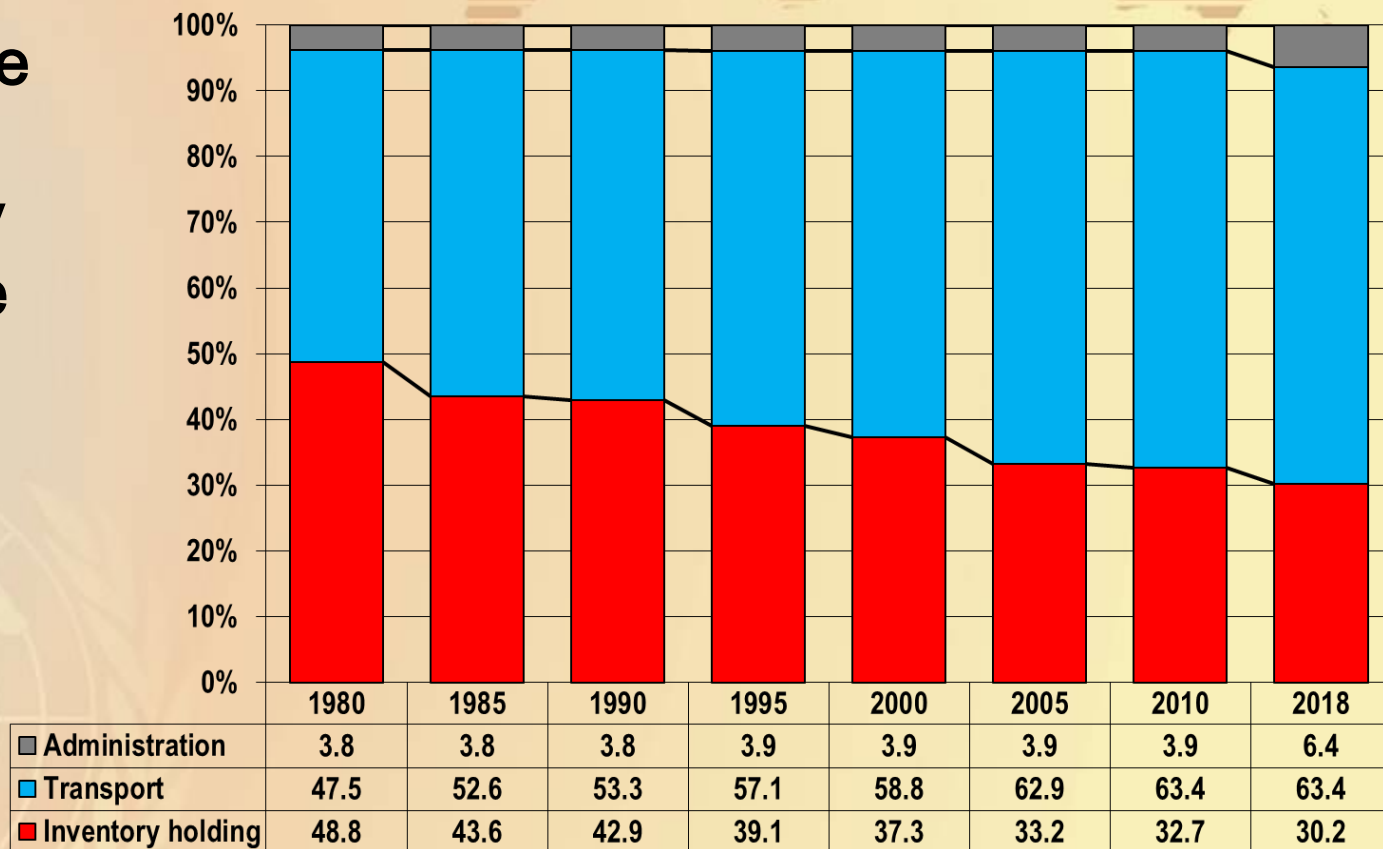
### Guide to Formalities

- Guide to Customs Clearance of Reporting Equipment Carried by Foreign Journalists
- Customs Clearance of Inward/Outward Passengers' Carried Baggage
- Guide for Unaccompanied Baggage of Inward



# 5. Changing logistics expenditures

Los gastos de **inventario** disminuyen y los gastos de **transporte** aumentan





**ARTICLE 7: RELEASE AND CLEARANCE OF GOODS**  
**3 Separation of Release from Final Determination of Customs Duties, Taxes, Fees and Charges**

3.1. Each Member shall adopt or maintain procedures allowing the release of goods prior to the final determination of customs duties, taxes, fees and charges, if such a determination is not done prior to, or upon arrival, or as rapidly as possible after arrival and provided that all other regulatory requirements have been met.

3.2. As a condition for such release, a Member may require:

- a. payment of customs duties, taxes, fees and charges determined prior to or upon arrival of goods and a guarantee for any amount not yet determined in the form of a surety, a deposit or another appropriate instrument provided for in its laws and regulations; or
- b. a guarantee in the form of a surety, a deposit or other appropriate instrument provided for in its laws and regulations.

3.3. Such guarantee shall not be greater than the amount the Member requires to ensure payment of customs duties, taxes, fees and charges ultimately due for the goods covered by the guarantee.

3.4. In cases where an offence requiring imposition of monetary penalties or fines has been detected, a guarantee may be required for the penalties and fines that may be imposed.

3.5. The guarantee as set out in paragraphs 3.2 and 3.4 shall be discharged when it is no longer required.

Nothing in these provisions shall affect the right of a Member to examine, detain, seize or confiscate or deal with the goods in any manner not otherwise inconsistent with the Member's rights and obligations.



## 6. Integración regional

- Increased regional and transit trade







**Freedom of transit.**

**“Transit” also included in  
several other TFA Articles**



# 7. Tecnologías

- Use of computers and Internet
- Containerization, shipping networks



# Procedures and formalities: Challenges for ports

- Alcance de la facilitación del comercio
- Principales preocupaciones de los comerciantes
- 7 tendencias globales y medidas F.C.





# La creciente importancia de la facilitación del comercio para los puertos

**INALOG**  
INSTITUTO NACIONAL DE LOGÍSTICA



Uruguay  
Logístico

Jan.Hoffmann@UNCTAD.org

Montevideo, mayo 2020